



## **Compliance Policy on Country-of-Origin Information for Conflict Minerals; “Conflict Minerals Reporting”**

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The **purpose** of this Policy is to implement the provisions relating to the identification of the origin of certain raw materials and minerals that, due to their possible illegality in the sources of obtaining or marketing, are subject to limitations on their sustainable use, especially the provisions of Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

This regulation prohibits any product on the market from containing a "conflict mineral". A "conflict mineral" is defined as a series of minerals and metals (tantalum, tungsten, gold or tin) originating in the Democratic Republic of the Congo or neighboring countries. If they have such an origin, or if the origin cannot be established, to be able to market these products, in compliance with current legislation, they must be subject to a review (*due diligence*) regarding the origin and the chain of custody, to ensure that these minerals do not benefit any armed group.

### **Scope of Application :**

All Cox companies with manufacturing capacity that incorporate “conflict minerals” into their chain, either directly or indirectly (through an intermediate product containing them), in products that are subsequently distributed to third parties.

### **Obligation:**

Companies subject to this Policy must inform the Purchasing and Sustainability department in advance of any “conflict” minerals incorporated into their product.

To identify “conflict minerals,” it is necessary to analyze when, where and how they are incorporated into the manufacturing process, until the natural origin of the mineral can be assured with certainty.

When the mineral is purchased directly by the company, because it incorporates these minerals into its manufacturing process, without using intermediaries, it will directly send the complete communication model indicated below.



On the other hand, when the "conflict mineral" is purchased through intermediaries, or when these are incorporated into other products purchased from third parties, the company must have said suppliers complete the form provided below. This will be provided to the company together with the completed form for the company itself.

#### Model to use:

The method of collecting the necessary information will be done through the attached model:

#### Instructions

Suppliers or the company must fill out 2 tabs of the model: "Declaration" and "Smelter list". You can choose to do it in different ways according to your convenience <sup>1</sup>:

- A. A statement that covers the entire provider.
- B. A statement for the entire division supplying the Company (electrical products division).<sup>2</sup>
- C. A statement for the product lines supplied by said supplier (i.e., for example, electrical transformers in general). <sup>2</sup>
- D. A statement for each of the specific products supplied to Cox (example: Model X and Model Y of electrical transformers).<sup>3</sup>

The method will be at the discretion of the supplier, as long as it covers all affected products supplied to Cox. When choosing the method, it is recommended to analyze the ultimate origin of all the materials in conflict, and when they have been located, find the simplest way to include them in the model.

#### Frequently asked questions and answers

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<sup>1</sup> The chosen form of communication must be marked in cell D9 "scope of declaration" on the "Declaration" tab.

<sup>2</sup> The chosen form of communication must be marked in cells D10 and D11 "scope description" on the "Declaration" tab.

<sup>3</sup> The "Product list" tab must also be filled out.



1. What is the purpose of the standard?

This is to prevent the financing of paramilitary groups operating in the Democratic Republic of Congo through the purchase of certain minerals ("conflict minerals") including tantalum, tin, tungsten and gold.

2. Which countries exactly are affected?

These are often referred to as the "conflict countries" and include the Democratic Republic of the Congo and neighboring countries: the Central African Republic, South Sudan, Uganda, Rwanda, Burundi, Zambia and Angola.

3. Is Cox obliged?

Not expressly, however, the company wishes to know and monitor the origin of the materials it uses, and to have this information available to clients and partners who may be obliged to do so. The origin of this regulation is found in the North American Securities Exchange Commission (SEC).

4. What is meant by products that incorporate these minerals?

All products that we manufacture (or whose manufacturing we subcontract) that contain any of the "conflict minerals". This only includes products that we manufacture for sale, but not capital goods, facilities or even packaging used in the process. However, it would include components/supplies that in turn contain said "conflict minerals" and that form part of the production process.

The range of products that may be affected is very wide, since even galvanized steel may contain tin, depending on the process used, and the use of tin for soldering is also common.

5. Where should each society start?

The first step is to identify and break down all those products manufactured by the company (or whose manufacturing is subcontracted) that require or use any of the 4 "conflict minerals". Also identify the place of origin of the mineral, when they are direct purchases from a smelter. When they are not direct purchases, it will be necessary for each supplier involved to fill out the form included in this annex.

6. Is there a minimum amount? The law expressly states that there is no minimum amount for which a company is exempt from reporting.



Cox ABG Cox			
Policy	• Conflict Minerals Policy		
Responsible	• Board of Directors		
Area	• Corporate – Regulatory Compliance		
Version	Date	Changes	
1	September 29, 2024 / Nov 21, 2024	majv	



Article	Definition
<b>Bordering country</b>	<b>A country that shares a recognized international border with the Democratic Republic of the Congo is considered a "bordering country".</b>
<b>List of CFS compliant foundries</b>	<b>The list of smelters that comply with the Conflict Free Smelter Program is a list that has been independently audited and is determined to comply with the CFS protocol. The list and protocols can be found at: (<a href="http://www.conflictreesmelter.org/">http://www.conflictreesmelter.org/</a>)</b>
<b>CFS Program</b>	<b>The Conflict Free Melter (CFS) program is a program developed by EICC and GeSI to enhance a company's ability to verify responsible metal sourcing. More details of the CFS program can be found at: (<a href="http://www.conflictreesmelter.org/">http://www.conflictreesmelter.org/</a>)</b>
<b>Conflict Minerals</b>	<b>As defined in the 2010 U.S. legislation, the Dodd-Frank Wall Street Reform Act, and the Consumer Protection Act, Section 1502(e)(4): CONFLICT MINERAL.—The term "conflict mineral" means—(A) columbite-tantalite (coltan), cassiterite, gold, tungsten, or their derivatives, or (B) Any other mineral or its derivatives determined by the Secretary of State to be financing a conflict in the Democratic Republic of the Congo or neighboring countries. (available at <a href="http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf">http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf</a>)</b>



<b>Scope of the declaration</b>	<b>For the purposes of this template, scope describes the applicability of the information provided by the reporting company. The scope may encompass all of the company's services and/or products, or at the company's discretion, the template may be used for a specific company division, product category, or product(s).</b>
<b>Division</b>	<b>A division is a defined business unit that performs a specific service or produces a specific category of products.</b>
<b>Dodd-Frank</b>	<b>2010 United States legislation, Dodd-Frank Wall Street Reform and Consumer Protection Act. Section 1502 ("Dodd-Frank") (<a href="http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf">http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf</a>)</b>
<b>DRC</b>	<b>Democratic Republic of the Congo</b>
<b>Conflict-free DRC</b>	<b>It is defined to describe products that do not contain minerals that directly or indirectly finance or benefit armed groups in the Democratic Republic of the Congo or neighboring countries. Source: 2010 U.S. Legislation, Dodd-Frank Wall Street Reform and Consumer Protection Act, Section 1502 (<a href="http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf">http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf</a>)</b>
<b>EICC</b>	<b>Electronic Industry Citizens Coalition (<a href="http://www.eicc.info">www.eicc.info</a>)</b>
<b>GeSI</b>	<b>Global e-Sustainability Initiative (<a href="http://www.gesi.org">www.gesi.org</a>)</b>
<b>Gold Refiner (Smelter)</b>	<b>A gold refining operation is a metallurgical operation that produces fine gold with a concentration of 99.5% or greater from gold or from materials containing gold at a lower concentration.</b>



<b>OECD</b>	<b>Organization for economic cooperation and development.</b>
<b>Product</b>	<b>A company product or final good is a material that has completed the final stage of manufacturing and/or processing and is available for distribution or sale to customers.</b>
<b>Product Category</b>	<b>A group of products that can be described by a generic term recognized by the industry (e.g. capacitors).</b>
<b>Recycled or waste materials</b>	<b>Conflict Minerals are considered "recycled" when they are obtained as end-use or post-consumer products, but minerals that are partially processed, or are a byproduct of other minerals, are not considered "recycled." For the complete definition, see SEC Rule (<a href="http://www.sec.gov/rules/final/2012/34-67716.pdf">http://www.sec.gov/rules/final/2012/34-67716.pdf</a>).</b>
<b>SEC</b>	<b>Security Exchange Commission (<a href="http://www.sec.gov">www.sec.gov</a>)</b>
<b>Founder</b>	<b>A smelter is a company that purchases and processes ores, slag, and/or recycles materials and wastes to obtain a refined metal or an intermediate product containing metal. The product may be pure (99.5% or greater) metals, powders, ingots, bars, grains, oxides, or salts.</b>
<b>Tantalum smelter</b>	<b>A tantalum smelter is a company which converts tantalum (Ta)-bearing ores, slag, dust or scrap into Ta-containing products (such as Ta powders, Ta components, Ta oxides, alloys, wires, sintered rods or similar final products) or intermediate products (such as KTaF (also known as KSalt), Ta-Tantalum Hydroxides and unrefined Ta powders, synthetic minerals and other Ta digestates).</b>



<p><b>Tin Smelter</b></p>	<p>A Tin smelter refers to companies that process concentrated tin ores to produce crude or fully refined tin (<math>\geq 99.85\%</math> pure). Secondary smelters are companies that process secondary materials to produce crude or fully refined tin. Refineries are companies that process crude tin or suitable secondary materials to produce fully refined tin. Tin smelters may be one or a combination of the above.</p>
<p><b>Tungsten Smelter</b></p>	<p>A Tungsten (W) refiner is defined as a company that converts W ore (wolframite and scheelite), W concentrates, or W secondary materials into W intermediates such as Ammonium Para-Tungsten (APT), Ammonium Meta-Tungsten (AMT), Ferrotungsten, and Tungsten Oxides. APT production is a typical identifying capability of a Tungsten smelter.</p>

			<p><b>Reporting template for conflict minerals</b></p>
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			<u>Spanish</u>						Revision 2.03a July 25th, 2013
	The purpose of this document is to collect information on the source of Tin, Tantalum, Tungsten and Gold used in products							<a href="#">Link to Terms &amp; Conditions</a>	
	Mandatory fields are marked with an asterisk (*), the information collected in this template must be updated annually, any changes in the annual cycle must be provided to clients.								
	of the Company								
	Company name (*):								
	Scope of the declaration (*):			C. Product Category Level [Specify in 'Description of scope']					
	Scope description (*):								
	Unique company identifier:								
	Address:								
	Name of the company's authorized representative (*):								
	Representative title:								
	Representative email (*):			-					
	Representative phone number:								



	<b>Date of preparation(*):</b>				-	-	-	-
	<b>Answer the following questions 1-6 based on the scope of the statement indicated above.</b>							
	<b>1) Are any of the following metals necessary for the functionality or production of the company's products that are manufactured or contract manufactured? (*)</b>		<b>Answer</b>			<b>Comments</b>		
	<b>Tantalum (*)</b>							
	<b>Tin (*)</b>							
	<b>Gold (*)</b>							
	<b>Tungsten (*)</b>							
	<b>2) Are the following metals (necessary for the functionality or production of the company's products) originating in the DRC or neighboring countries? (*)</b>		<b>Answer</b>			<b>Comments</b>		
	<b>Tantalum (*)</b>							
	<b>Tin (*)</b>							
	<b>Gold (*)</b>							
	<b>Tungsten (*)</b>							
	<b>3) Do the following metals (necessary for the functionality or production of the</b>		<b>Answer</b>			<b>Comments</b>		



	company's products) come from a recycling or waste supplier? (*)								
	Tantalum (*)								
	Tin (*)								
	Gold (*)								
	Tungsten (*)								
	<b>4) Have you received the reporting templates for conflict minerals from all suppliers? (*)</b>		<b>Answer</b>			<b>Comments</b>			
	Tantalum (*)								
	Tin (*)								
	Gold (*)								
	Tungsten (*)								
	<b>5) For each of the following metals, have you identified all of your company's and its suppliers' smelters used to provide the products included within the scope of the declaration indicated above? (*)</b>		<b>Answer</b>			<b>Comments</b>			
	Tantalum (*)								
	Tin (*)								
	Gold (*)								



	<b>Tusgten (*)</b>								
	<b>6) Have all smelters and their suppliers used by your company been validated to be in compliance according to the conflict-free smelter program and listed on the list of compliant smelters for the following metals? (*)</b>		<b>Answer</b>			<b>Comments</b>	<a href="#">Link to "CFS Compliant Smelter List"</a>		
	<b>Tantalum (*)</b>								
	<b>Tin (*)</b>								
	<b>Gold (*)</b>								
	<b>Tusgten (*)</b>								
<b>Answer the following questions at the company level</b>									
	<b>Ask</b>		<b>Answer</b>			<b>Comments</b>			
	A. Do you have a policy in place that includes conflict-free sourcing of RDC? (*)								
	B. Is this policy publicly available on your website? (*)								
	C. Do you require your direct suppliers to be free of RDC conflict? (*)								



	D. Do you require your direct suppliers to source from validated smelters complying with the CFS protocol using the list of smelters that comply with CFS Comp? (*)								
	E. Have you implemented measures on care for conflict-free sourcing? (*)								
	F. Do you ask your suppliers to fill out this reporting template for conflict minerals? (*)								
	G. Do you ask your suppliers for the name of the foundries? (*)								
	H. Do you carefully verify the information received from your suppliers? (*)								
	I. Does your verification process include corrective action management? (*)								
	J. Are you subject to the SEC's requirement rule for disclosure for conflict minerals? (*)								
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<b>Note: The following list of smelter names does not represent the EICC-GeSI Conflict Free Smelters (CFS) list or all global smelters.</b>				
<b>Metal</b>	<b>Standard Foundry Names</b>	<b>Known Aliases</b>	<b>Location of the foundry factory: Country</b>	<b>Foundry Identification</b>
Gold	Aida Chemical Industries Co. Ltd.		JAPAN	1JPN072
Gold	Allgemeine Gold- und Silberscheideanstalt AG	Allgemeine Gold,Allgemeine Gold- & Silberscheideanstalt,Allgemeine	GERMANY	1DEU001
Gold	Almalyk Mining and Metallurgical Complex (AMMC)		UZBEKISTAN	1UZB002
Gold	AngloGold Ashanti Mineração Ltda	AngloGold Ashanti	BRAZIL	1BRA003
Gold	Argor-Heraeus SA	Argor Heraeus	SWITZERLAND	1CHE004



Gold	Asahi Pretec Corporation	AKK,Asahi Pretec Corp,Asahi Pretec Corp koube koujyo	JAPAN	1JPN005
Gold	Asaka Riken Co Ltd		JAPAN	1JPN073
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret AS	ATAkulche	TURKEY	1TUR006
Gold	Aurubis AG	Aurubis,Norddeutsche Affinerie AG	GERMANY	1DEU007
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Central Bank of the Philippines Gold Refinery & Mint	PHILIPPINES	1PHL008
Gold	Boliden AB	Boliden Mineral AB	SWEDEN	1SWE009
Gold	Charity		MEXICO	1MEX010
Gold	Ashes & Metals SA	Ashes and Metals SA	SWITZERLAND	1CHE011
Gold	Central Bank of the DPR of Korea		KOREA, REPUBLIC OF	1KOR012
Gold	Chimet SpA	Chimet	ITALY	1ITA013
Gold	Chugai Mining		JAPAN	1JPN078
Gold	Codelco		CHILI	1CHL014
Gold	Daejin Indus Co. Ltd		KOREA, REPUBLIC OF	1KOR082
Gold	DaeryongENC		KOREA, REPUBLIC OF	1KOR083
Gold	Do Sung Corporation		KOREA, REPUBLIC OF	1KOR084
Gold	Dowa	Dowa Kogyo kk,Dowa Metals & Mining Co. Ltd,Dowa Metanix Co.Ltd,Dowa Metalmine	JAPAN	1JPN015



		co.ltd,Dowa Metals & Mining. Kosak Seiren,Dowa Holdings Co. Ltd		
Gold	FSE Novosibirsk Refinery	Novosibirsk	RUSSIAN FEDERATION	1RUS016
Gold	Heimerle + Meule GmbH		GERMANY	1DEU017
Gold	Heraeus Ltd Hong Kong	Heraeus Hong Kong,Heraeus limited,Heraeus Technology Center	HONG KONG	1HKG019
Gold	Heraeus Precious Metals GmbH & Co. KG	Heraeus Germany,Heraeus Hanau,Heraeus Materials Technology GMBH&CO.KG,WC Heraeus GmbH	GERMANY	1DEU018
Gold	Hwasung CJ Co. Ltd		KOREA, REPUBLIC OF	1KOR085
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Company Limited	Qiankun Gold and Silver	CHINA	1CHN020
Gold	Ishifuku Metal Industry Co., Ltd.	Ishifuku Tokyo Melters	JAPAN	1JPN021
Gold	Istanbul Gold Refinery		TURKEY	1TUR069
Gold	Japan Mint	Japanese Mint Osaka	JAPAN	1JPN022
Gold	Jiangxi Copper Company Limited	JCC	CHINA	1CHN023





Gold	Johnson Matthey Inc	Johnson Matthey USA, JM USA, Johnson Matthey (Salt lake City)	UNITED STATES	1USA025
Gold	Johnson Matthey Limited	Johnson Matthey Canada, JM Canada	CANADA	1CAN024
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	Ekaterinburg	RUSSIAN FEDERATION	1RUS026
Gold	JSC Uraelectromed		RUSSIAN FEDERATION	1RUS027
Gold	JX Nippon Mining & Metals Co., Ltd	JX Nippon Mining and Metals, Nippon Mining, Saganoseki Smelter & Refinery	JAPAN	1JPN028
Gold	Kazzinc Ltd		KAZAKHSTAN	1KAZ029
Gold	Kojima Chemicals Co. Ltd		JAPAN	1JPN074
Gold	Korea Metal Co. Ltd		KOREA, REPUBLIC OF	1KOR086
Gold	Kyrgyzaltyn JSC		KYRGYZSTAN	1KGZ030
Gold	L'azurde Company For Jewelry	The azurde	SAUDI ARABIA	1SAU031
Gold	LS-Nikko Copper Inc	LS Nikko	KOREA, REPUBLIC OF	1KOR032
Gold	Materion	Materion Advanced Metals	UNITED STATES	1USA033
Gold	Matsuda Sangyo Co. Ltd		JAPAN	1JPN034



Gold	Metalor Technologies (Hong Kong) Ltd	Metalor Hong Kong, Metalor HK	HONG KONG	1HKG036
Gold	Metalor Technologies SA	Metalor Switzerland	SWITZERLAND	1CHE035
Gold	Metalor USA Refining Corporation	Metalor USA	UNITED STATES	1USA037
Gold	Met-Mex Peñoles, S.A.		MEXICO	1MEX038
Gold	Mitsubishi Materials Corporation		JAPAN	1JPN039
Gold	Mitsui Mining and Smelting Co., Ltd.	Mitsui	JAPAN	1JPN040
Gold	Moscow Special Alloys Processing Plant		RUSSIAN FEDERATION	1RUS041
Gold	Nadir Metal Rafineri San. See Tic. ACE.		TURKEY	1TUR070
Gold	Navoi Mining and Metallurgical Combinat	Navoi,NMMC	UZBEKISTAN	1UZB042
Gold	Nihon Material Co. LTD		JAPAN	1JPN071
Gold	Ohio Precious Metals LLC.	Ohio Precious Metals,OPM Metals,USPM,United States Precious Metals	UNITED STATES	1USA043
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastvetmet)		RUSSIAN FEDERATION	1RUS044



Gold	OJSC Kolyma Refinery		RUSSIAN FEDERATION	1RUS067
Gold	PAMP SA	PAMP SA SWITZERLAND	SWITZERLAND	1CHE045
Gold	Pan Pacific Copper Co. LTD		JAPAN	1JPN080
Gold	Prioksky Plant of Non-Ferrous Metals	Prioksky	RUSSIAN FEDERATION	1RUS047
Gold	PT Aneka Tambang (Persero) Tbk		INDONESIA	1IDN048
Gold	PX Precinox SA	PX Precinox	SWITZERLAND	1CHE068
Gold	Rand Refinery (Pty) Ltd	Rand Refinery, Rand Refinery Limited	SOUTH AFRICA	1ZAF049
Gold	Royal Canadian Mint		CANADA	1CAN050
Gold	Sabin Metal Corp.		UNITED STATES	1USA075
Gold	SAMWON METALS Corp.		KOREA, REPUBLIC OF	1KOR087
Gold	Beautiful golden metal	Umicore Feingold	NETHERLANDS	1NLD051
Gold	SEMPSA Jewelry Plateria SA	SEMPSA	SPAIN	1ESP052
Gold	Shandong Zhaojin Gold & Silver Refinery Co. Ltd	Shandong Zhaojin Group	CHINA	1CHN054
Gold	SOE Shyolkovsky Factory of	Shyolkovsky	RUSSIAN FEDERATION	1RUS055



	Secondary Precious Metals			
Gold	Solar Applied Materials Technology Corp.	Solar Applied Materials Taiwan	TAIWAN	1TWN056
Gold	Sumitomo Metal Mining Co. Ltd.	Sumitomo, Toyo Smelter & Refinery, SMM	JAPAN	1JPN057
Gold	Suzhou Xingrui Noble		CHINA	1CHN079
Gold	Tanaka Kikinzoku Kogyo KK	Tanaka, TANAKA TOKYO MELTERS, Tanaka Denshi Kogyo, TANAKA DENSHI KOGYO KK, TANAKA ELECTRONICS (HANGZHOU) CO. LTD, TANAKA ELECTRONICS SINGAPORE PTE LTD, Tanaka Holdings Co. Ltd., Tanaka Kikinzoku Kogyo KK, Tanaka Kikinzoku Group, Tanaka Kikinzoku Hanbai KK, Tanaka Kikinzoku Kogyo KK Japan., Tanaka Kikinzoku Kogyo kk syonan koujyo, Tanaka Kinzoku international Co. Ltd Taipei branch	JAPAN	1JPN058
Gold	The Great Wall Gold and Silver Refinery of China	Great Wall Gold & Silver Refinery	CHINA	1CHN059



Gold	The Refinery of Shandong Gold Mining Co. Ltd	Shandong Gold Mining (Laizhou),China's Shangdong Gold Mining Co.Ltd	CHINA	1CHN053
Gold	Tokuriki Honten Co. Ltd	Tokuriki Tokyo Melters Assayers	JAPAN	1JPN060
Gold	Torecom		KOREA, REPUBLIC OF	1KOR081
Gold	Umicore Brasil Ltda	Umicore Brazil Ltd	BRAZIL	1BRA061
Gold	Umicore SA Business Unit Precious Metals Refining	Umicore Hoboken,Umicore Belgium,Umicore SA,Umicore Precious Metals Refining	BELGIUM	1BEL062
Gold	United Precious Metal Refining, Inc.		UNITED STATES	1USA076
Gold	Valcambi SA	VALCAMBI,Valcambi Switzerland	SWITZERLAND	1CHE063
Gold	Western Australian Mint trading as The Perth Mint	AGR Matthey,Perth Mint (Western Australia Mint),The Perth Mint,Western Australian Mint	AUSTRALIA	1AUS046
Gold	Xstrata Canada Corporation	Xstrata,Xstrata Corporation,Canadian Copper Refinery	CANADA	1CAN064
Gold	Yokohama Metal Co Ltd		JAPAN	1JPN077
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	Zhongjin Gold Corporation Limited,Shandong Zhaoyuan Gold Argentine refining company limited	CHINA	1CHN065



Gold	Zijin Mining Group Co. Ltd	Zijin Mining	CHINA	1CHN066
Tantalum	Conghua Tantalum and Niobium Smeltry		CHINA	3CHN019
Tantalum	Duoluoshan	Duoluoshan Sapphire Rare Metal Co. Ltd	CHINA	3CHN001
Tantalum	Exotech Inc.	Exotech	UNITED STATES	3USA002
Tantalum	F&X	F&X Electro-Materials Limited	CHINA	3CHN003
Tantalum	Gannon & Scott		UNITED STATES	3USA004
Tantalum	Global Advanced Metals	Cabot,Cabot Corporation,Cabot Supermetals	UNITED STATES	3USA005
Tantalum	HC Starck GmbH	HC Starck,Starck,Stark	GERMANY	3DEU006
Tantalum	Hi-Temp		UNITED STATES	3USA016
Tantalum	JiuJiang JinXin Nonferrous Metals Co. Ltd.		CHINA	3CHN020
Tantalum	JiuJiang Tambre Co. Ltd.	Jiujiang Tanbre	CHINA	3CHN007
Tantalum	Kemet Blue Powder	Niotan	UNITED STATES	3USA010
Tantalum	King-Tan Tantalum Industry Ltd		CHINA	3CHN026
Tantalum	LMS Brasil SA		BRAZIL	3BRA021
Tantalum	Mitsui Mining & Smelting	Mitsui	JAPAN	3JPN008



Tantalum	Molycorp Silmet		ESTONIA	3EST025
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	Ningxia,OTIC	CHINA	3CHN009
Tantalum	Plansee	Plansee Group,Plansee Holding AG	AUSTRIA	3AUT011
Tantalum	QuantumClean		UNITED STATES	3USA022
Tantalum	RFH		CHINA	3CHN017
Tantalum	Solikamsk Metal Works	Solikamsk	RUSSIAN FEDERATION	3RUS012
Tantalum	Taki Chemicals		JAPAN	3JPN023
Tantalum	Tantalite Resources		SOUTH AFRICA	3ZAF024
Tantalum	Telex		UNITED STATES	3USA018
Tantalum	Ulba		KAZAKHSTAN	3KAZ014
Tantalum	Zhuzhou Cement Carbide	Zhuzhou,Zhuzhou Cemented Carbide Works Import and Export Co.	CHINA	3CHN015
Tin	CNMC (Guangxi) PGMA Co. Ltd.	CNMC(GUANGXI)PGMA Smelting Plant,Guangxi Pinggui PGMA Co. Ltd.	CHINA	2CHN050
Tin	Cookson	Alpha Metals Taiwan	UNITED STATES	2USA001
Tin	Cooper Santa		BRAZIL	2BRA063
Tin	CV Duta Putra Bangka		INDONESIA	2IDN003
Tin	CV Gita Person		INDONESIA	2IDN056
Tin	CV Justindo	CV Jus Tindo	INDONESIA	2IDN004



Tin	CV Makmur Jaya		INDONESIA	2IDN005
Tin	Nurjanah's CV		INDONESIA	2IDN006
Tin	CV Prima Timah Utama		INDONESIA	2IDN007
Tin	CV Serumpun Sebalai		INDONESIA	2IDN008
Tin	CV United Smelting		INDONESIA	2IDN009
Tin	EM Vinto	Vinto Metallurgic Company, National Foundry Company (ENAF), Vinto Metallurgical Complex SA	BOLIVIA	2BOL010
Tin	Fenix Metals		POLAND	2POL064
Tin	Geju Non-Ferrous Metal Processing Co. Ltd.	Geju Non-ferrous	CHINA	2CHN012
Tin	Geju Zi-Li	Geju Zili Metallurgy Co., GEJIU ZILI MINING&SMELTING CO. LTD.	CHINA	2CHN011
Tin	Gold Bell Group	Guangxi Zhongshan Gold Bell Smelting Corp.Ltd	CHINA	2CHN013
Tin	Huichang Jinshunda Tin Co. Ltd		CHINA	2CHN052
Tin	Jiangxi Nanshan		CHINA	2CHN014
Tin	Kai Unita Trade Limited Liability Company		CHINA	2CHN053
Tin	Linwu Xianggui Smelter Co		CHINA	2CHN055





Tin	Liuzhou China Tin	Liuzhou China Tin Group Co. Ltd.	CHINA	2CHN015
Tin	Malaysia Smelting Corporation (MSC)	MSC, Malaysia Smelting Corp, Malaysia Smelting Corporation Berhad	MALAYSIA	2MYS016
Tin	Metal Chemical		BELGIUM	2BEL017
Tin	Taboca Mining SA	Taboca, Paranapanema	BRAZIL	2BRA018
Tin	Minmetals Ganzhou Tin Co. Ltd.	China Minmetals, Minmetal	CHINA	2CHN051
Tin	Minsur	Funsur, Minsur Mines, Funsur Smelter, Minsur SA Tin Metal	PERU	2PER019
Tin	Mitsubishi Materials Corporation		JAPAN	2JPN020
Tin	Novosibirsk Integrated Tin Works		RUSSIAN FEDERATION	2RUS021
Tin	OMSA		BOLIVIA	2BOL022
Tin	PT Alam Lestari Kencana		INDONESIA	2IDN023
Tin	PT Artha Cipta Langgeng		INDONESIA	2IDN024
Tin	PT Babel Inti Perkasa		INDONESIA	2IDN025
Tin	PT Babel Surya Alam Lestari		INDONESIA	2IDN026
Tin	PT Bangka Kudai Tin		INDONESIA	2IDN027
Tin	PT Bangka Putra Karya	Ketapang	INDONESIA	2IDN028



Tin	PT Bangka Timah Utama Sejahtera		INDONESIA	2IDN029
Tin	PT Bangka Tin Industry		INDONESIA	2IDN058
Tin	PT Belitung Industri Sejahtera		INDONESIA	2IDN030
Tin	PT BilliTin Makmur Lestari	PT Bellitin Makmur Lestari,BML	INDONESIA	2IDN031
Tin	PT Bukit Timah	Indra Eramulti Logam,IMLI	INDONESIA	2IDN032
Tin	PT DS Jaya Abadi		INDONESIA	2IDN059
Tin	PT Eunindo Usaha Mandiri		INDONESIA	2IDN033
Tin	PT Fang Di MulTindo		INDONESIA	2IDN034
Tin	PT HP Metals Indonesia		INDONESIA	2IDN035
Tin	PT Karimun Mining		INDONESIA	2IDN062
Tin	PT Koba Tin		INDONESIA	2IDN036
Tin	PT Mitra Stania Prima		INDONESIA	2IDN037
Tin	PT Panca Mega		INDONESIA	2IDN060
Tin	PT Refined Banka Tin		INDONESIA	2IDN038
Tin	PT Sariwiguna Binasantosa		INDONESIA	2IDN039
Tin	PT Seirama Tin investment		INDONESIA	2IDN061
Tin	PT Staying In The Forefront	CV DS Jaya Abadi	INDONESIA	2IDN040



Tin	PT Summer Beach Indah		INDONESIA	2IDN041
Tin	PT Tambang Timah	Kundur, TT	INDONESIA	2IDN049
Tin	PT Timah	Bangka Tin, Mentok, PT Timah (Persero) TBK	INDONESIA	2IDN042
Tin	PT Timah Nusantara		INDONESIA	2IDN043
Tin	PT Tinindo Inter Nusa	PT Tinindo Internusa	INDONESIA	2IDN044
Tin	PT Tommy Utama		INDONESIA	2IDN057
Tin	PT Yinchendo Mining Industry		INDONESIA	2IDN045
Tin	Thaisarco	Thailand Smelting and Refining Co. Ltd.	THAILAND	2THA046
Tin	White Solder Metallurgy		BRAZIL	2BRA054
Tin	Yunnan Chengfeng	Yunnan Chengfeng Non-Ferrous Metals Co Ltd	CHINA	2CHN047
Tin	Yunnan Tin Company Limited	YTCL, Yuntinic Resources Inc., Smelting Branch of Yunnan Tin Company Limited, Yun Nan Tin Co. LTD	CHINA	2CHN048
Tungsten	ALMT Corp.	ALMT Tungsten Corp, Allied Material Corp, ALMT	JAPAN	4JPN020
Tungsten	ATI Tungsten Materials	ATI, ATI Firth Sterling, ATI Metalworking Products, ATI Metalworking, ATI Metals, Allydne, Allydne Powder Technologies	UNITED STATES	4USA001



Tungsten	Chaozhou Xianglu Tungsten Industry Co Ltd	Xianglu Tungsten Industry Co. Ltd.	CHINA	4CHN002
Tungsten	China Minmetals Nonferrous Metals Co Ltd	China Minmetals Corp, CMN, Minmetals, China Minmetals, Gan Bei Tungsten Industry Co. Ltd.	CHINA	4CHN003
Tungsten	Chongyi Zhangyuan Tungsten Co Ltd	Zhangyuan Tungsten Co Ltd	CHINA	4CHN004
Tungsten	Dayu Weiliang Tungsten Co., Ltd.		CHINA	4CHN021
Tungsten	Fujian Jinxin Tungsten Co., Ltd.		CHINA	4CHN022
Tungsten	Ganzhou Grand Sea W&Mo Group Co Ltd	Ganzhou Grand Sea W and Mo Company, Ganzhou Grand Sea, Ganzhou Sinda W&Mo Co. Ltd., Ganzhou Sinda, Ganzhou Sea Dragon, Ganzhou Hailong W & Mo Co. Ltd.	CHINA	4CHN016
Tungsten	Global Tungsten & Powders Corp	GTP, Osram Sylvania, Global Tungsten & Powders Corp USA	UNITED STATES	4USA007
Tungsten	HC Starck GmbH	Starck, Stark, HCS, HC Starck, HC Starck	GERMANY	4DEU008
Tungsten	Hunan Chenzhou Mining Group Co	Chenzhou, Chenzhou Mining Group	CHINA	4CHN018
Tungsten	Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd.		CHINA	4CHN023



Tungsten	Japan New Metals Co Ltd	JNM,Mitsubishi Materials Corporation,Mitsubishi	JAPAN	4JPN017
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.		CHINA	4CHN024
Tungsten	Jiangxi Rare Earth & Rare Metals Tungsten Group Corp	Ganzhou Nonferrous Metals Smelting Co Ltd.,JXTC,Jiangxi Rare Earth & Rare Metals Tungsten Group Imp.& Exp. Co. Ltd.	CHINA	4CHN009
Tungsten	Jiangxi Tungsten Industry Group Co Ltd	Ganzhou Huaxing Tungsten Products Co. Ltd.,Ganzhou Huaxin Tungsten Products Ltd,JTGC,China National Nonferrous,China National Nonferrous Metals Imp. & Exp. Jiangxi Corporation Ltd.,CNIECJX,Jiangxi Tungsten Co Ltd	CHINA	4CHN010
Tungsten	Kennametal Inc.	Kennametal	UNITED STATES	4USA026
Tungsten	Tejing (Vietnam) Tungsten Co Ltd	Tungsten weaving	VIETNAM	4VNM019
Tungsten	Wolfram Bergbau und Hütten AG	WBH, Wolfram [Austria]	AUSTRIA	4AUT012
Tungsten	Wolfram Company CJSC	Wolfram [Russia], Wolfram JSC	RUSSIAN FEDERATION	4RUS013
Tungsten	Xiamen Tungsten Co Ltd	XTC,XTC Haicang,XTC HC	CHINA	4CHN014



Tungsten	Xinhai Rendan Shaoguan Tungsten Co., Ltd.		CHINA	4CHN025
Tungsten	Zhuzhou Cemented Carbide Group Co Ltd	ZCCC,Zhuzhou Cemented Carbide,Zhuzhou,Zhuzhou Cemented Carbide Works Imp. & Exp. Co.,Chenzhou Diamond Tungsten Products Co. Ltd.	CHINA	4CHN015

To ensure that all required fields have been filled out before sending to the client, check the form for anything marked in red.

[Click here to return to Declaration tab](#)

<b>Required fields</b>	<b>Required Answers</b>	<b>Grades</b>
Company name (*):		Must provide your company name on the declaration tab cell D8
Scope of the declaration (*):	C. Product Category Level [Specify in 'Description of scope']	Completed
Scope description (*):		Must provide Description of Scope on Declaration tab cell D9
Name of the company's authorized representative (*):		Must provide Authorized Company Representative contact name in Declaration tab cell D14
Representative email (*):		Must provide an email for Authorized Company Representative on Declaration tab cell D16



Date of preparation(*):		Must provide date the form was completed on Declaration tab cell D18
1) Are any of the following metals necessary for the functionality or production of the company's products that are manufactured or contract manufactured? (*)		
Tantalum (*)		Must declare if Tantalum is in use within the scope of products declared within this survey response on the Declaration tab cell D22
Tin (*)		Must declare if Tin is in use within the scope of products declared within this survey response on the Declaration tab cell D23
Gold (*)		Must declare if Gold is in use within the scope of products declared within this survey response on the Declaration tab cell D24
Tungsten (*)		Must declare if Tungsten is in use within the scope of products declared within this survey response on the Declaration tab cell D25
2) Are the following metals (necessary for the functionality or production of the company's products) originating in the DRC or neighboring countries? (*)		
Tantalum (*)		Declare if Tantalum used within the scope of products declared within this survey



		response originated from the DRC or an adjoining Country on the Declaration tab cell D28
Tin (*)		Declare if Tin used within the scope of products declared within this survey response originated from the DRC or an adjoining Country on the Declaration tab cell D29
Gold (*)		Declare if Gold used within the scope of products declared within this survey response originated from the DRC or an adjoining Country on the Declaration tab cell D30
Tungsten (*)		Declare if Tungsten used within the scope of products declared within this survey response originated from the DRC or an adjoining Country on the Declaration tab cell D31
3) Do the following metals (necessary for the functionality or production of the company's products) come from a recycling or waste supplier? (*)		
Tantalum (*)		Declare if Tantalum used within the scope of products declared within this survey response originated from a recycled or scrap source on the Declaration tab cell D34





Tin (*)		Declare if Tin used within the scope of products declared within this survey response originated from a recycled or scrap source on the Declaration tab cell D35
Gold (*)		Declare if Gold used within the scope of products declared within this survey response originated from a recycled or scrap source on the Declaration tab cell D36
Tungsten (*)		Declare if Tungsten used within the scope of products declared within this survey response originated from a recycled or scrap source on the Declaration tab cell D37
4) Have you received the reporting templates for conflict minerals from all suppliers? (*)		
Tantalum (*)		Provide % of completeness of supplier's smelter information on Declaration tab cell D40
Tin (*)		Provide % of completeness of supplier's smelter information on Declaration tab cell D41
Gold (*)		Provide % of completeness of supplier's smelter information on Declaration tab cell D42
Tungsten (*)		Provide % of completeness of supplier's smelter information on Declaration tab cell D43



<p>5) For each of the following metals, have you identified all of your company's and its suppliers' smelters used to provide the products included within the scope of the declaration indicated above? (*)</p>		
<p>Tantalum (*)</p>		<p>Declare if all smelter names have been provided in this survey response which fall under the scope of products declared within this survey response on the Declaration tab cell D46</p>
<p>Tin (*)</p>		<p>Declare if all smelter names have been provided in this survey response which fall under the scope of products declared within this survey response on the Declaration tab cell D47</p>
<p>Gold (*)</p>		<p>Declare if all smelter names have been provided in this survey response which fall under the scope of products declared within this survey response on the Declaration tab cell D48</p>
<p>Tungsten (*)</p>		<p>Declare if all smelter names have been provided in this survey response which fall under the scope of products declared within this survey response on the Declaration tab cell D49</p>



<p>6) Have all smelters and their suppliers used by your company been validated to be in compliance according to the conflict-free smelter program and listed on the list of compliant smelters for the following metals? (*)</p>		
<p>Tantalum (*)</p>		<p>Must declare if all smelters your company and its suppliers use for Tantalum are on the Conflict-Free Smelter (CFS) list on Declaration tab cell D52</p>
<p>Tin (*)</p>		<p>Must declare if all smelters your company and its suppliers use for Tin are on the Conflict-Free Smelter (CFS) list on Declaration tab cell D53</p>
<p>Gold (*)</p>		<p>Must declare if all smelters your company and its suppliers use for Gold are on the Conflict-Free Smelter (CFS) list on Declaration tab cell D54</p>
<p>Tungsten (*)</p>		<p>Must declare if all smelters your company and its suppliers use for Tungsten are on the Conflict-Free Smelter (CFS) list on Declaration tab cell D55</p>
<p>A. Do you have a policy in place that includes conflict-free sourcing of RDC? (*)</p>		<p>Must answer if your company has a DRC Conflict-Free sourcing policy on the Declaration tab cell D59</p>
<p>B. Is this policy publicly available on your website? (*)</p>		<p>Must answer if your company has made your DRC Conflict-Free sourcing policy is publicly available on your website on the Declaration tab cell D61</p>



C. Do you require your direct suppliers to be free of RDC conflict? (*)		Must answer if you require your direct suppliers to be DRC Conflict-Free on the Declaration tab cell D63
D. Do you require your direct suppliers to source from validated smelters complying with the CFS protocol using the list of smelters that comply with CFS Comp? (*)		Must answer if you require your direct suppliers to source from smelters validated as DRC Conflict-Free using the Conflict-Free Smelter list on Declaration tab cell D65
E. Have you implemented measures on care for conflict-free sourcing? (*)		Must answer if you have implemented Conflict Minerals sourcing due diligence measures on Declaration tab cell D67
F. Do you ask your suppliers to fill out this reporting template for conflict minerals? (*)		Must answer if you request your suppliers to fill out this Conflict Minerals reporting template on Declaration tab cell D69
G. Do you ask your suppliers for the name of the foundries? (*)		Must answer if you request smelter names from your suppliers on the declaration tab cell D71
H. Do you carefully verify the information received from your suppliers? (*)		Must answer if you verify Conflict Minerals responses from your suppliers on Declaration tab cell D73
I. Does your verification process include corrective action management? (*)		Must answer if your verification process includes corrective action management on Declaration tab cell D75
J. Are you subject to the SEC's requirement rule for disclosure for conflict minerals? (*)		Must answer if you are subject to the SEC Disclosure requirement on Declaration tab cell D77
	No products or item numbers listed	Completed



No smelter names have been provided	No smelter names provided on Smelter List tab	Must provide list of smelters contributing material to supply chain on Smelter List tab
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**Completion is required only if the "Product Level" reporting level is selected in the "Declaration" tab.**

	<a href="#">Click here to return to Declaration tab</a>			
	<b>Product or item number(*)</b>	<b>Product or item description</b>	<b>Comments</b>	